

S/N 10/014278



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PATENT

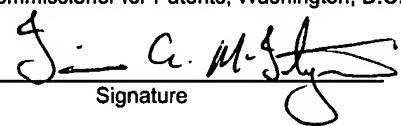
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gunnar Hedin et al. Examiner: Unassigned  
Serial No.: 10/014278 Group Art Unit: 2878  
Filed: December 11, 2001 Docket No.: 980.1123US01  
Title: ROBUST WAVELENGTH LOCKER FOR CONTROL OF LASER WAVELENGTH

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on June 17, 2002

Iain A. McIntyre  
Name

  
Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

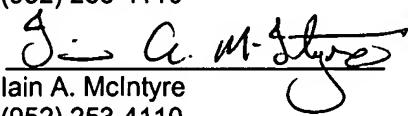


- Information Disclosure Statement, Form 1449, copy of 30 references  
 Transmittal Sheet  
 Return postcard

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

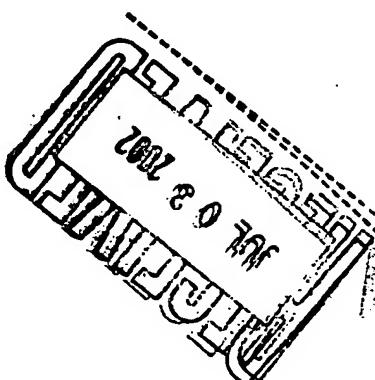
Respectfully submitted,

Altera Law Group, LLC  
6500 City West Parkway, Suite 100  
Minneapolis, MN 55344  
(952) 253-4110

Date: June 17, 2002 By:   
Iain A. McIntyre  
(952) 253-4110  
Reg. No. 40,337

IAM/kah

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S/N 10/014278



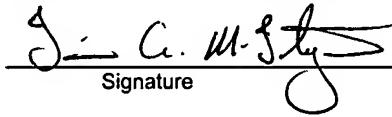
PATENT

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Title: ROBUST WAVELENGTH LOCKER FOR CONTROL OF LASER  
WAVELENGTH

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Iain A. McIntyre  
Name

  
Signature

Information Disclosure Statement  
Under 37 C.F.R. §1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231



Dear Sir:

Applicants respectfully submit the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This statement should be considered because it is filed before the mailing date of the first Office Action on the merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §1.98(d).

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Applicants hereby bring to the attention of the Examiner pending patent applications, Serial No. 09/871,230, filed May 31, 2001; Serial No. 10/014,218, filed October 22, 2001, Serial No. 10/015,151, filed December 11, 2001 and Serial No. 10/014,277, filed December 11, 2001 (courtesy copies enclosed).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicants request that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC  
6500 City West Parkway, Suite 100  
Minneapolis, MN 55344  
(952) 253-4110

Date: June 17, 2002

By: Iain A. McIntyre  
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<b>INFORMATION DISCLOSURE STATEMENT</b> PTO Form 1449		Docket Number 980.1123US01	Serial Number 10/014278
		Applicant(s) Hedin et al.	
		Filing Date December 11, 2001	Group Art Unit 2878

### U.S. PATENT DOCUMENTS

EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPROPRIATE)
		4,896,325	01/23/90	Coldren			
		5,167,444	12/01/92	Hall			
		5,323,409	06/21/94	Laskoskie et al.			
		5,428,700	06/27/95	Hall			
		5,621,828	04/15/97	Baets et al.			
		5,715,265	02/03/98	Epworth			
		5,798,859	08/25/98	Colbourne et al.			
		5,825,792	10/20/98	Villeneuve et al.			
		5,828,689	10/27/98	Epworth			
		5,943,152	08/24/99	Mizrahi et al.			
		5,956,356	09/21/99	Bergmann et al.			
		5,963,686	10/05/99	Zheng et al.			
		6,064,681	05/16/00	Ackerman			
		6,067,181	05/23/00	Mizrahi			
		6,094,271	07/25/00	Maeda			
		6,111,681	08/29/00	Mizrahi et al.			
		6,122,301	09/19/00	Tei et al.			
		6,125,128	09/26/00	Mizrahi			
		6,212,210	04/03/01	Serizawa			
		6,233,263	05/15/01	Chang-Hasnain et al.			
		6,243,403	06/05/01	Broutin et al.			
		6,272,157	08/07/01	Broutin et al.			
		2001/0007501 A1	07/12/01	Frojd			

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
							YES	NO

### OTHER DOCUMENTS

	M. Oberg et al., "74 nm Wavelength Tuning Range of an InGaAsP Vertical Grating Assisted Codirectional Coupler Laser with Rear Sampled Grating Reflector," <i>IEEE Photonics Technology Letters</i> , 5(7):735-738 (July 1993).
	P.J. Rigole et al., "114-nm Wavelength Tuning Range of a Vertical Grating Assisted Codirectional Coupler Laser with a Super Structure Grating Distributed Bragg Reflector," <i>IEEE Photonics Technology Letters</i> , 7(7):697-699 (July 1995).
	Derickson, "Static Fizeau Interferometer Wavelength Meter", <i>Fiber Optic Test and Measurement</i> , Prentice-Hall, ISBN 0-13-534330-5, 163-165 (1998).
	U.S. Application Serial No. 09/871,230, filed May 31, 2001.
	U.S. Application Serial No. 10/014,218, filed October 22, 2001.
	U.S. Application Serial No. 10/015,151, filed December 11, 2001.
	U.S. Application Serial No. 10/014,277, filed December 11, 2001.

Examiner:	Date Considered:
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